## Regular Surfaces

## Definition 1:

A subset $S \subset R^{3}$ is a regular surface if, for each $p \in S$, there exists a neighborhood $V$ in $R^{3}$ and a map $\mathrm{x}: U \rightarrow V \cap S$ of an open set $U \subset R^{2}$ onto $V \cap S \subset R^{3}$ such that

- x is differentiable.
- x is a homeomorphism. Since x is continuous, this means that x has an inverse $\mathrm{x}^{-1}: V \cap S \rightarrow U$ which is continuous; that is, $\mathrm{x}^{-1}$ is the restriction of a continuous map $F: W \subset R^{3} \rightarrow R^{2}$ defined on an open set $W$ containing $V \cap S$.
- For each $q \in U$, the differential $d \mathbf{x}_{q}: R^{2} \rightarrow$ $R^{3}$ is one-to-one. (The regularity condition).


The mapping $\mathbf{x}$ is called a parameterization or a system of (local) coordinates in (a neighborhood of) $p$. The neighborhood $V \cap S$ of $p$ in $S$ is called a coordinate neighborhood.

Note that a surface is defined as a subset $S$ of $R^{3}$, not as a map as in the curve case. This is achieved by covering $S$ with the traces of parameterization which satisfy the three conditions.

Remarks:

- Condition 1 is natural if we need to do differential calculus on $S$.
- Condition 2 has the purpose of preventing self-intersection in regular surfaces. It is also essential to prove that certain objects defined in terms of a parameterization do not depend on this parameterization but only on $S$ itself.
- Condition 3 (one of the Jacobian determinants do not equal to zero) will guarantee the existence of a tangent plane at all points of $S$.


## Proposition 1:

If $f: U \rightarrow R$ is a differentiable function in an open set $U$ of $R^{2}$, then the graph of $f$, that is, the subset of $R^{3}$ given by ( $x, y, f(x, y)$ ) for $(x, y) \in U$, is a regular surface.

## Definition 2:

Given a differentiable map $F: U \subset R^{n} \rightarrow R^{m}$ defined in an open set $U$ of $R^{n}$, we say that $p \in U$ is a critical point of $F$ if the differential $d F_{p}: R^{n} \rightarrow R^{m}$ is not a surjective (or onto) mapping. The image $F(p) \in R^{m}$ of a critical point is called critical value of $F$. A point of $R^{m}$ which is not a critical value is called a regular value of $F$.
$a \in f(U)$ is a regular value of $f: U \subset R^{3} \rightarrow R$ if and only if $f_{x}, f_{y}$ and $f_{z}$ do not vanish simultaneously at any point in the inverse image

$$
\left.f^{-1}(a)=\{(x, y, z) \in U: f(x, y, z)=a)\right\}
$$

## Proposition 2:

If $f: U \subset R^{3} \rightarrow R$ is a differentiable function and $a \in f(U)$ is a regular value of $f$, then $f^{-1}(a)$ is a regular surface in $R^{3}$.

Proposition 3:
Let $S \subset R^{3}$ be a regular surface and $p \in S$. Then there exists a neighborhood $V$ of $p$ in $S$ such that $V$ is the graph of a differentiable function which has one of the following three forms: $z=f(x, y), y=g(x, z), x=h(y, z)$.

Proposition 1 says that the graph of a differentiable function is a regular surface. Proposition 3 provides a local converse of it; that is, any regular surface is locally the graph of a differentiable function.

Proposition 4:
Let $p \in S$ be a point of a regular surface $S$ and let $\mathrm{x}: U \subset R^{2} \rightarrow R^{3}$ be a map with $p \in \mathrm{x}(U) \subset$ $S$ such that conditions 1 and 3 of Definition 1 hold. Assume that x is one-to-one, then $\mathrm{x}^{-1}$ is continuous.

It basically says that if we already know that $S$ is a regular surface and we have a candidate x for a parameterization, we do not have to check that $\mathrm{x}^{-1}$ is continuous, provided that the other conditions hold.

## Change of Parameters

Remarks:

- We are interested in those properties of surfaces which depend on their behavior in a neighborhood of a point.
- For regular surfaces, each point $p$ belongs to a coordinate neighborhood, and we should be able to define the local properties in terms of these coordinates.
- The same point $p$ can, however, can belong to various coordinate neighborhoods. Moreover, other coordinate systems could be chosen in a neighborhood of $p$. It must be shown that when $p$ belongs to two coordinate neighborhoods, it is possible to pass from one of the coordinates to the other by means of a differentiable transformation.



## Proposition 1:

Let $p$ be a point of a regular surface $S$, and let $\mathrm{x}: U \subset R^{2} \rightarrow S, \mathrm{y}: V \subset R^{2} \rightarrow S$ be two parameterizations of $S$ such that $p \in \mathbf{x}(U) \cap \mathbf{y}(V)=W$. Then the change of coordinates $h=\mathrm{x}^{-1} \circ \mathbf{y}$ : $\mathbf{y}^{-1}(W) \rightarrow \mathbf{x}^{-1}(W)$ is a diffeomorphism; that is, $h$ is differentiable and has a differentiable inverse $h^{-1}$.

If x and y are given by

$$
\begin{aligned}
\mathbf{x}(u, v) & =(x(u, v), y(u, v), z(u, v)),(u, v) \in U \\
\mathbf{y}(\xi, \eta) & =(x(\xi, \eta), y(\xi, \eta), z(\xi, \eta)),(\xi, \eta) \in V
\end{aligned}
$$

then the change of coordinate $h$, given by

$$
u=u(\xi, \eta), v=v(\xi, \eta),(\xi, \eta) \in \mathbf{y}^{-1}(W)
$$

has the property that the functions $u$ and $v$ have continuous partial derivatives of all orders, and the map $h$ can be inverted, yielding

$$
\xi=\xi(u, v), \eta=\eta(u, v),(u, v) \in \mathbf{x}^{-1}(W)
$$

where the function $\xi$ and $\eta$ also have partial derivatives of all orders. Since

$$
\frac{\partial(u, v)}{\partial(\xi, \eta)} \frac{\partial(\xi, \eta)}{\partial(u, v)}=1
$$

this implies that the Jacobian determinants of both $h$ and $h^{-1}$ are nonzero everywhere.

Definition 1:
Let $f: V \subset S \rightarrow R$ be a function defined in an open subset $V$ of a regular surface $S$. Then $f$ is said to be differentiable at $p \in V$ if, for some parameterization x : $U \subset R^{2} \rightarrow S$ with $p \in$ $\mathrm{x}(U) \subset V$, the composition $f \circ \mathrm{x}: U \subset R^{2} \rightarrow R$ is differentiable at $\mathbf{x}^{-1}(p)$. $f$ is differentiable in $V$ if it is differentiable at all points of $V$.


The definition of differentiability can be easily extended to mappings between surfaces. A continuous map $\varphi: V_{1} \subset S_{1} \rightarrow S_{2}$ of an open set $V_{1}$ of a regular surface $S_{1}$ to a regular surface $S_{2}$ is said to be differentiable at $p \in V_{1}$ if, given parameterizations

$$
\begin{aligned}
& \mathbf{x}_{1}: U_{1} \subset R^{2} \rightarrow S_{1} \\
& \mathbf{x}_{2}: U_{2} \subset R^{2} \rightarrow S_{2}
\end{aligned}
$$

with $p \in \mathrm{x}_{1}(U)$ and $\varphi\left(\mathrm{x}_{1}\left(U_{1}\right)\right) \subset \mathrm{x}_{2}\left(U_{2}\right)$, the map

$$
\mathrm{x}_{2}^{-1} \circ \varphi \circ \mathrm{x}_{1}: U_{1} \rightarrow U_{2}
$$

is differentiable at $q=\mathrm{x}_{1}^{-1}(p)$.

In other words, $\varphi$ is differentiable if when expressed in local coordinates as

$$
\varphi\left(u_{1}, v_{1}\right)=\left(\varphi_{1}\left(u_{1}, v_{1}\right), \varphi_{2}\left(u_{1}, v_{1}\right)\right)
$$

the functions $\varphi_{1}, \varphi_{2}$ have continuous partial derivatives of all orders.

Two regular surfaces $S_{1}$ and $S_{2}$ are diffeomorphic is there exists a differentiable map $\varphi: S_{1} \rightarrow S_{2}$ with a differentiable inverse $\varphi^{-1}:$ $S_{2} \rightarrow S_{1}$. Such a $\varphi$ is called a diffeomorphism from $S_{1}$ to $S_{2}$.

